

# R3 – March 2014 Membership Survey

#### **METHODOLOGY NOTE**

ComRes interviewed 444 R3 members online between 7th and 12th March 2014. ComRes is a member of the British Polling Council and abides by its rules.

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Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Summary table
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Speed of the disciplinary process
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Effectiveness of sanctions - preventing 'bad' IPs from operating
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Effectiveness of sanctions - deterring future 'bad' behaviour by IPs
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Transparency - making an initial complaint
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Transparency - keeping track of a complaint/disciplinary action
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Transparency - outcome of a complaint/disciplinary action
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Acting in the interest of creditors
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Fairness to IPs subject to disciplinary proceedings
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Consistency between regulators - how the regulatory process works
Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of Consistency between regulators - the sanctions imposed by regulators
Q2 Which of the following regulatory processes do you think would work best for the profession?  Base: All Respondents
Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service) Summary table
Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service) Should be able to issue a direction to a Recognised Professional Body (RPB) to discipline an IP that is a member of that RPB
Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service) Should be able to fine or publicly reprimand an RPB for regulatory failures
Base: All Respondents
Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service) Should be able to apply to court to impose a sanction directly on an IP in exceptional circumstances
Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service) Should be able to require RPBs, IPs, IPs' employers, or IPs' employees to provide information on request relating to disciplinary procedures, monitoring, or IPs' work
Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service) Should be able to reserve the power to designate a single regulator for the insolvency profession

Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service) Should be able to require HMRC to engage in all cases in which it is creditor, as it does in CVAs and IVAs	a . 19
Q4 In a typical 12 month period, how many complaints regarding fees do you receive, as an individual IP?  Base: All Respondents	. 20
Q5 In what proportion of the completed cases that you worked on in the last year Summary table	. 21
Q5 In what proportion of the completed cases that you worked on in the last year Did you not receive a fee for your work?	. 22
Q5 In what proportion of the completed cases that you worked on in the last year Did you receive less in fees income than would have been warranted for the hours you worked on a case?	. 23
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? Summary table	. 24
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? Returns to creditors	. 25
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? IPs' fees	. 26
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? Competition in the market	. 27
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? The number of business / company rescues	. 28
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? The number of cases taken on by the Official Receiver	. 29
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? The number of cases taken on by IPs	. 30
Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? The number of complaints about fees	. 31
Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? Summary table	. 32
Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? Returns to creditors	. 33
Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? IPs' fees	. 34
Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? Competition in the market	. 35
Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? The number of business / company rescues	. 36
Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? The number of cases taken on by the Official Receiver	. 37
Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference? The number of cases taken on by IPs	. 38

#### Base: All Respondents Base: All Respondents Q8 Please find below a list of types of information that could be provided by the Insolvency Service. For each, please indicate how useful, if at all, you think that they would be in assisting unsecured creditors to negotiate competitive fee rates. Summary table . 40 Base: All Respondents Q8 Please find below a list of types of information that could be provided by the Insolvency Service. For each, please indicate how useful, if at all, you think that they would be in assisting unsecured creditors to negotiate competitive fee rates. Information on approving fees ... Base: All Respondents Q8 Please find below a list of types of information that could be provided by the Insolvency Service. For each, please indicate how useful, if at all, you think that they would be in assisting unsecured creditors to negotiate competitive fee rates. Information on how to appoint an IP ...... Base: All Respondents Q8 Please find below a list of types of information that could be provided by the Insolvency Service. For each, please indicate how useful, if at all, you think that they would be in assisting unsecured creditors to negotiate competitive fee rates. Information on how to obtain a quote from an IP Base: All Respondents Q8 Please find below a list of types of information that could be provided by the Insolvency Service. For each, please indicate how useful, if at all, you think that they would be in assisting unsecured creditors to negotiate competitive fee rates. Information on how to negotiate fees Base: All Respondents Q8 Please find below a list of types of information that could be provided by the Insolvency Service. For each, please indicate how useful, if at all, you think that they would be in assisting unsecured creditors to negotiate competitive fee rates. Comparative fee data by asset size Base: All Respondents Q8 Please find below a list of types of information that could be provided by the Insolvency Service. For each, please indicate how useful, if at all, you think that they would be in assisting unsecured creditors to negotiate competitive fee rates. Information on how to challenge fees 46 Base: All Respondents Q9 Which of the following, if any, do you believe is the most appropriate policy option for IPs' fees in securing the best return to unsecured creditors? Base: All Respondents O10 In your opinion, which of the following issues, if any, do you think unsecured creditors are most concerned about when it comes to insolvencies? Please select your top three, where 1 = the issue you think unsecured creditors are most concerned about 2. = the issue you think they are second-most concerned about and 3 = the issue you think they are third-most concerned about. Summary table Base: All Respondents Q10 In your opinion, which of the following issues, if any, do you think unsecured creditors are most concerned about when it comes to insolvencies? Please select your too three. where 1 = the issue you think unsecured creditors are most concerned about. 2 = the issue you think they are second-most concerned about and 3 = the issue you think they are third-most concerned about. Most concerned about 49 Base: All Respondents Q10 In your opinion, which of the following issues, if any, do you think unsecured creditors are most concerned about when it comes to insolvencies? Please select your top three, where 1 = the issue you think unsecured creditors are most concerned about. 2 = the issue you think they are second-most concerned about and 3 = the issue you think they are third-most concerned about. Second-most concerned about 50 Base: All Respondents Q10 In your opinion, which of the following issues, if any, do you think unsecured creditors are most concerned about when it comes to insolvencies? Please select your top three, where 1 = the issue you think unsecured creditors are most concerned about. 2 = the issue you think they are second-most concerned about and 3 = the issue you think they are third-most concerned about. Third-most concerned about. Base: All Respondents Q10 In your opinion, which of the following issues, if any, do you think unsecured creditors are most concerned about when it comes to insolvencies? Please select your top three, where 1 = the issue you think unsecured creditors are most concerned about, 2 Base: All Respondents Base: All Respondents Are you an insolvency appointment taker?

# Base: All Respondents To your best estimate, how many employees does your firm have in total in the UK? Base: All Respondents D3 Are you a member of R3's Smaller Practice Group (five or fewer appointment takers)? 56 Base: All Respondents

Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### Summary table Base: All Respondents

							Ne	ets
	Total	Very poorly	Fairly poorly	Fairly well	Very well	Don't know	Poorly	Well
Speed of the disciplinary process	444	46	95	154	58	91	141	212
	100%	10%	21%	35%	13%	20%	32%	48%
Effectiveness of sanctions - preventing 'bad' IPs from operating	444	43	116	163	80	42	159	243
	100%	10%	26%	37%	18%	9%	36%	55%
Effectiveness of sanctions - deterring future 'bad' behaviour by IPs	444	25	92	173	108	46	117	281
	100%	6%	21%	39%	24%	10%	26%	63%
Transparency - making an initial complaint	444	11	39	159	170	65	50	329
	100%	2%	9%	36%	38%	15%	11%	74%
Transparency - keeping track of a complaint/disciplinary action	444	16	59	169	113	87	75	282
	100%	4%	13%	38%	25%	20%	17%	64%
Transparency - outcome of a complaint/disciplinary action	444	14	50	184	142	54	64	326
	100%	3%	11%	41%	32%	12%	14%	73%
Acting in the interest of creditors	444	13	41	187	173	30	54	360
	100%	3%	9%	42%	39%	7%	12%	81%
Fairness to IPs subject to disciplinary proceedings	444	15	59	193	88	89	74	281
	100%	3%	13%	43%	20%	20%	17%	63%
Consistency between regulators - how the regulatory process works	444	28	92	166	49	109	120	215
	100%	6%	21%	37%	11%	25%	27%	48%
Consistency between regulators - the sanctions imposed by regulators	444	30	103	149	40	122	133	189
	100%	7%	23%	34%	9%	27%	30%	43%



Fieldwork: 7th - 12th March 2014



Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### **Speed of the disciplinary process** Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
Nets
Poorly
Well

	Insolvency appo	ointment	Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
46	37	9	6	8	13	7	12	14	20	22	24	
10%	11%	8%	10%	12%	18%	7%	8%	11%	11%	12%	9%	
95	70	25	12	12	17	29	25	24	46	37	58	
21%	21%	23%	20%	18%	23%	29%	17%	19%	26%	20%	22%	
154	114	37	21	19	26	35	53	40	61	62	92	
35%	34%	35%	35%	29%	35%	35%	37%	32%	35%	34%	35%	
58	46	12	5	16	6	12	19	21	18	24	34	
13%	14%	11%	8%	24%	8%	12%	13%	17%	10%	13%	13%	
91	67	24	16	11	12	18	34	27	30	39	52	
20%	20%	22%	27%	17%	16%	18%	24%	21%	17%	21%	20%	
141	107	34	18	20	30	36	37	38	66	59	82	
32%	32%	32%	30%	30%	41%	36%	26%	30%	38%	32%	32%	
212	160	49	26	35	32	47	72	61	79	86	126	
48%	48%	46%	43%	53%	43%	47%	50%	48%	45%	47%	48%	





Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

## Effectiveness of sanctions - preventing 'bad' IPs from operating Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
<b>Nets</b> Poorly
Well

	Insolvency appo taker	ointment	Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100	
43	31	12	5	3	8	13	14	8	21	14	29	
10%	9%	11%	8%	5%	11%	13%	10%	6%	12%	8%	11	
116	90	26	14	12	19	27	44	26	46	38	78	
26%	27%	24%	23%	18%	26%	27%	31%	21%	26%	21%	30	
163	121	39	21	26	28	34	54	47	62	74	89	
37%	36%	36%	35%	39%	38%	34%	38%	37%	35%	40%	34	
80	62	18	13	17	11	19	20	30	30	37	43	
18%	19%	17%	22%	26%	15%	19%	14%	24%	17%	20%	17	
42	30	12	7	8	8	8	11	15	16	21	21	
9%	9%	11%	12%	12%	11%	8%	8%	12%	9%	11%	8	
159	121	38	19	15	27	40	58	34	67	52	107	
36%	36%	36%	32%	23%	36%	40%	41%	27%	38%	28%	41	
243	183	57	34	43	39	53	74	77	92	111	132	
55%	55%	53%	57%	65%	53%	52%	52%	61%	53%	60%	51	



Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### Effectiveness of sanctions - deterring future 'bad' behaviour by IPs Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
Nets
Poorly
Well

	Insolvency appo taker	intment	Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%		100%	100%	100%	
25	17	8	3	2	6	8	6	5	14	8	17	
6%	5%	7%	5%	3%	8%	8%	4%	4%	8%	4%	7%	
92	71	21	7	10	15	23	37	17	38	34	58	
21%	21%	20%	12%	15%	20%	23%	26%	13%	22%	18%	22%	
173	128	42	24	26	27	40	56	50	67	77	96	
39%	38%	39%	40%	39%	36%	40%	39%	40%	38%	42%	37%	
108	86	22	16	22	19	22	29	38	41	47	61	
24%	26%	21%	27%	33%	26%	22%	20%	30%	23%	26%	23%	
46	32	14	10	6	7	8	15	16	15	18	28	
10%	10%	13%	17%	9%	9%	8%	10%	13%	9%	10%	11%	
117	88	29	10	12	21	31	43	22	52	42	75	
26%	26%	27%	17%	18%	28%	31%	30%	17%	30%	23%	29%	
281	214	64	40	48	46	62	85	88	108	124	157	
63%	64%	60%	67%	73%	62%	61%	59%	70%	62%	67%	60%	



Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### **Transparency - making an initial complaint**Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
Nets
Poorly
Well

	Insolvency app taker		t Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100	
11	8	3	3	-	3	1	4	3	4	6	5	
2%	2%	3%	5%		4%	1%	3%	2%	2%	3%	2	
39	24	15	6	4	6	15	8	10	21	12	27	
9%	7%	14%	10%	6%	8%	15%	6%	8%	12%	7%	10	
159	115	41	20	19	31	32	57	39	63	61	98	
36%	34%	38%	33%	29%	42%	32%	40%	31%	36%	33%	38	
170	140	30	22	31	24	43	50	53	67	75	95	
38%	42%	28%	37%	47%	32%	43%	35%	42%	38%	41%	37	
65	47	18	9	12	10	10	24	21	20	30	35	
15%	14%	17%	15%	18%	14%	10%	17%	17%	11%	16%	13	
50	32	18	9	4	9	16	12	13	25	18	32	
11%	10%	17%	15%	6%	12%	16%	8%	10%	14%	10%	12	
329	255	71	42	50	55	75	107	92	130	136	193	
74%	76%	66%	70%	76%	74%	74%	75%	73%	74%	74%	74	



Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

## **Transparency - keeping track of a complaint/disciplinary action**Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
Nets Poorly
Poorly
Well

	Insolvency appointment taker			Member of Smaller Practice Group								
Total	Yes	No	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	1009	
16	11	5	1	3	4	2	6	4	6	9	7	
4%	3%	5%	2%	5%	5%	2%	4%	3%	3%	5%	3°	
59	39	20	8	3	9	19	20	11	28	18	41	
13%	12%	19%	13%	5%	12%	19%	14%	9%	16%	10%	16°	
169	129	37	21	30	31	37	50	51	68	72	97	
38%	39%	35%	35%	45%	42%	37%	35%	40%	39%	39%	37	
113	94	19	14	20	16	30	33	34	46	49	64	
25%	28%	18%	23%	30%	22%	30%	23%	27%	26%	27%	25	
87	61	26	16	10	14	13	34	26	27	36	51	
20%	18%	24%	27%	15%	19%	13%	24%	21%	15%	20%	20	
75	50	25	9	6	13	21	26	15	34	27	48	
17%	15%	23%	15%	9%	18%	21%	18%	12%	19%	15%	18	
282	223	56	35	50	47	67	83	85	114	121	161	
64%	67%	52%	58%	76%	64%	66%	58%	67%	65%	66%	62	





Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### Transparency - outcome of a complaint/disciplinary action Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
<b>Nets</b> Poorly
Well

	Insolvency appointment taker			Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100
14	9	5	2	1	3	1	7	3	4	6	8
3%	3%	5%	3%	2%	4%	1%	5%	2%	2%	3%	
50	33	17	9	4	9	17			26	20	30
11%	10%	16%	15%	6%	12%	17%			15%	11%	12
184	135	46	19	32	31	40	62	51	71	72	112
41%	40%	43%	32%	48%	42%	40%	43%	40%	41%	39%	43
142	119	23	23	22	23	36	38	45	59	64	78
32%	36%	21%	38%	33%	31%	36%	27%	36%	34%	35%	30
54	38	16	7	7	8	7	25	14	15	22	32
12%	11%	15%	12%	11%	11%	7%	17%	11%	9%	12%	12
64	42	22	11	5	12	18	18	16	30	26	38
14%	13%	21%	18%	8%	16%	18%	13%	13%	17%	14%	18
326	254	69	42	54	54	76	100	96	130	136	190
73%	76%	64%	70%	82%	73%	75%	70%	76%	74%	74%	73



Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### Acting in the interest of creditors Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
<b>Nets</b> Poorly
Well

	Insolvency appointment taker			Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100
13	8	5	1	2	3	4	3	3	7	5	8
3%	2%	5%	2%	3%	4%	4%	2%	2%	4%	3%	
41	29	12	11	2	7	11	10	13	18	18	23
9%	9%	11%	18%	3%	9%	11%	7%	10%	10%	10%	9
187	139	45	20	26	32	47	62	46	79	71	116
42%	42%	42%	33%	39%	43%	47%	43%	37%	45%	39%	4
173	133	40	21	29	29	34	60	50	63	75	98
39%	40%	37%	35%	44%	39%	34%	42%	40%	36%	41%	38
30	25	5	7	7	3	5	8	14	8	15	15
7%	7%	5%	12%	11%	4%	5%	6%	11%	5%	8%	
54	37	17	12	4	10	15	13	16	25	23	3:
12%	11%	16%	20%	6%	14%	15%	9%	13%	14%	13%	
360	272	85	41	55	61	81	122	96	142	146	214
81%	81%	79%	68%	83%	82%	80%	85%	76%	81%	79%	82

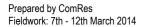


Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### Fairness to IPs subject to disciplinary proceedings Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
Nets
Poorly
Well

	Insolvency appointment taker			Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	1009
15	14	1	4	-	6	2	3	4	8	10	5
3%	4%	1%	7%		8%	2%	2%	3%	5%	5%	2°
59	51	8	4	8	19	16	12	12	35	21	38
13%	15%	7%	7%	12%	26%	16%	8%	10%	20%	11%	15°
193	141	49	27	33	30	43	60	60	73	92	101
43%	42%	46%	45%	50%	41%	43%	42%	48%	42%	50%	39
88	61	27	10	13	8	21	36	23	29	24	64
20%	18%	25%	17%	20%	11%	21%	25%	18%	17%	13%	25
89	67	22	15	12	11	19	32	27	30	37	52
20%	20%	21%	25%	18%	15%	19%	22%	21%	17%	20%	20
74	65	9	8	8	25	18	15	16	43	31	43
17%	19%	8%	13%	12%	34%	18%	10%	13%	25%	17%	17
281	202	76	37	46	38	64	96	83	102	116	165
63%	60%	71%	62%	70%	51%	63%	67%	66%	58%	63%	63



Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

## Consistency between regulators - how the regulatory process works Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
Nets
Poorly
Well

	Insolvency appointment taker			Member of Smaller Practice Group							
Total	Yes N	lo	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334 10	)7	60	66	74	101	143	126	175	184	260
100%	100% 10	)0%	100%	100%	100%	100%	100%	100%	100%	100%	100%
28	21	7	2	4	6	11	5	6	17	12	16
6%	6%	7%	3%	6%	8%	11%	3%	5%	10%	7%	6%
92		20	13	7	16	22	34	20	38	33	59
21%		19%	22%	11%	22%	22%	24%	16%	22%	18%	23%
166	1	15	19	24	30	42	51	43	72	73	93
37%		12%	32%	36%	41%	42%	36%	34%	41%	40%	36%
49		11	6	11	8	6	18	17	14	15	34
11%		10%	10%	17%	11%	6%	13%	13%	8%	8%	13%
109		24	20	20	14	20	35	40	34	51	58
25%		22%	33%	30%	19%	20%	24%	32%	19%	28%	22%
120		27	15	11	22	33	39	26	55	45	75
27%		25%	25%	17%	30%	33%	27%	21%	31%	24%	29%
215		56	25	35	38	48	69	60	86	88	127
48%		52%	42%	53%	51%	48%	48%	48%	49%	48%	49%



Q1 How well or poorly do you think the current system of regulating insolvency practitioners (IP) performs in terms of...

### Consistency between regulators - the sanctions imposed by regulators Base: All Respondents

Total
Very poorly
Fairly poorly
Fairly well
Very well
Don't know
Nets
Poorly
Well

	Insolvency app taker	ointment		Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100
30	21	9	2	3	8	12	5	5	20	12	18
7%	6%	8%	3%	5%	11%	12%	3%	4%	11%	7%	7
103	81	22	10	8	15	29	41	18	44	34	69
23%	24%	21%	17%	12%	20%	29%	29%	14%	25%	18%	27
149	105	41	20	21	28	34	46	41	62	65	84
34%	31%	38%	33%	32%	38%	34%	32%	33%	35%	35%	32
40	32	8	6	10	4	6	14	16	10	14	26
9%	10%	7%	10%	15%	5%	6%	10%	13%	6%	8%	10
122	95	27	22	24	19	20	37	46	39	59	63
27%	28%	25%	37%	36%	26%	20%	26%	37%	22%	32%	24
133	102	31	12	11	23	41	46	23	64	46	87
30%	31%	29%	20%	17%	31%	41%	32%	18%	37%	25%	
189	137	49	26	31	32	40	60	57	72	79	110
43%	41%	46%	43%	47%	43%	40%	42%	45%	41%	43%	42





## Q2 Which of the following regulatory processes do you think would work best for the profession? Base: All Respondents

		Insolvency a		Number of employees					Member of Smaller Practice Group			
	Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
Total	444	334	107	60	66	74	101	143	126	175	184	260
	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
A single regulatory process (where existing regulator unified monitoring system, and a single complaints a system)		168 50%	53 50%	30 50%	28 42%	40 54%	50 50%	75 52%	58 46%	90 51%	91 49%	132 51%
A single regulator	93	66	27	14	12	16	18	33	26	34	36	57
	21%	20%	25%	23%	18%	22%	18%	23%	21%	19%	20%	22%
The existing multi regulator process	63	50	12	9	14	7	17	16	23	24	27	36
	14%	15%	11%	15%	21%	9%	17%	11%	18%	14%	15%	14%
Fewer regulators	62	47	15	7	10	11	15	19	17	26	28	34
	14%	14%	14%	12%	15%	15%	15%	13%	13%	15%	15%	13%
Don't know	3 1%	3 1%	-	-	2 3%	-	1 1%	-	2 2%	1 1%	2 1%	1





Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service)...

### Summary table Base: All Respondents

				Don't
	Total	Agree	Disagree	know
Should be able to issue a direction to a Recognised Professional				
Body (RPB) to discipline an IP that is a member of that RPB	444	169	260	15
	100%	38%	59%	3%
Should be able to fine or publicly reprimand an RPB for regulatory				
failures	444	317	115	12
	100%	71%	26%	3%
Should be able to apply to court to impose a sanction directly on an				
IP in exceptional circumstances	444	268	164	12
	100%	60%	37%	3%
Should be able to require RPBs, IPs, IPs' employers, or IPs'				
employees to provide information on request relating to disciplinary				
procedures, monitoring, or IPs' work	444	246	172	26
	100%	55%	39%	6%
Should be able to reserve the power to designate a single regulator				
for the insolvency profession	444	174	247	23
	100%	39%	56%	5%
Should be able to require HMRC to engage in all cases in which it				
is a creditor, as it does in CVAs and IVAs	444	237	178	29
	100%	53%	40%	7%



Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service)...

Should be able to issue a direction to a Recognised Professional Body (RPB) to discipline an IP that is a member of that RPB Base: All Respondents

Total		
Agree		
Disagree		
Don't know		

	Insolvency a		Number of employees						Member of Smaller Practice Group		
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
169	117	51	20	24	28	35	62	44	63	65	104
38%	35%	48%	33%	36%	38%	35%	43%	35%	36%	35%	40%
260	204	54	35	40	44	65	76	75	109	114	146
59%	61%	50%	58%	61%	59%	64%	53%	60%	62%	62%	56%
15	13	2	5	2	2	1	5	7	3	5	10
3%	4%	2%	8%	3%	3%	1%	3%	6%	2%	3%	49



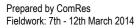


Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service)...

### Should be able to fine or publicly reprimand an RPB for regulatory failures Base: All Respondents

Total		
Agree		
Disagree		
Don't know		

	Insolvency tak	appointment ær		Number of employees							Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
317	237	79	47	46	48	74	102	93	122	124	193	
71%	71%	74%	78%	70%	65%	73%	71%	74%	70%	67%	74%	
115	86	27	12	17	24	25	37	29	49	55	60	
26%	26%	25%	20%	26%	32%	25%	26%	23%	28%	30%	23%	
12	11	1	1	3	2	2	4	4	4	5	7	
3%	3%	1%	2%	5%	3%	2%	3%	3%	2%	3%	3%	



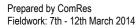


Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service)...

Should be able to apply to court to impose a sanction directly on an IP in exceptional circumstances Base: All Respondents

Total		
Agree		
Disagree		
Don't know		

	Insolvency tak	appointment ær		Number of employees						Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
268	186	80	31	37	39	59	102	68	98	103	165
60%	56%	75%	52%	56%	53%	58%	71%	54%	56%	56%	63%
164	137	26	26	29	34	38	37	55	72	77	87
37%	41%	24%	43%	44%	46%	38%	26%	44%	41%	42%	33%
12	11	1	3	-	1	4	4	3	5	4	8
3%	3%	1%	5%		1%	4%	3%	2%	3%	2%	3%





Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service)...

Should be able to require RPBs, IPs, IPs' employers, or IPs' employees to provide information on request relating to disciplinary procedures, monitoring, or IPs' work Base: All Respondents

Total		
Agree		
Disagree		
Don't know		

	Insolvency tak	appointment er		Number of employees							Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
246	173	71	33	27	39	54	93	60	93	90	156	
55%	52%	66%	55%	41%	53%	53%	65%	48%	53%	49%	60%	
172	143	28	22	31	33	42	44	53	75	81	91	
39%	43%	26%	37%	47%	45%	42%	31%	42%	43%	44%	35%	
26	18	8	5	8	2	5	6	13	7	13	13	
6%	5%	7%	8%	12%	3%	5%	4%	10%	4%	7%	5%	

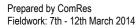


Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service)...

Should be able to reserve the power to designate a single regulator for the insolvency profession Base: All Respondents

Total		
Agree		
Disagree		
Don't know		

	Insolvency a	appointment er		Number of employees							Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
174	125	49	24	22	29	36	63	46	65	65	109	
39%	37%	46%	40%	33%	39%	36%	44%	37%	37%	35%	42%	
247	192	52	32	42	42	61	70	74	103	110	137	
56%	57%	49%	53%	64%	57%	60%	49%	59%	59%	60%	53%	
23	17	6	4	2	3	4	10	6	7	9	14	
5%	5%	6%	7%	3%	4%	4%	7%	5%	4%	5%	5%	



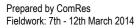


Q3 Do you agree or disagree that the Insolvency Service should hold each of the following powers? The Secretary of State for Business, Innovation & Skills (i.e. the Insolvency Service)...

Should be able to require HMRC to engage in all cases in which it is a creditor, as it does in CVAs and IVAs Base: All Respondents

Total		
Agree		
Disagree		
Don't know		

	Insolvency tak	appointment er			of Smaller e Group						
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
237	170	66	25	31	39	59	83	56	98	92	145
53%	51%	62%	42%	47%	53%	58%	58%	44%	56%	50%	56%
178	138	38	26	28	33	38	53	54	71	82	96
40%	41%	36%	43%	42%	45%	38%	37%	43%	41%	45%	37%
29	26	3	9	7	2	4	7	16	6	10	19
7%	8%	3%	15%	11%	3%	4%	5%	13%	3%	5%	7%



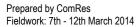


Q4 In a typical 12 month period, how many complaints regarding fees do you receive, as an individual IP?

Base: All Respondents

Total
None
1
2
3-9
10+
Don't know
Median Mean Score

Insolvency appointmen taker				Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100
279	228	51	54	54	48	50	73	108	98	132	147
63%	68%	48%	90%	82%	65%	50%	51%	86%	56%	72%	57
75	62	12	2	6	22	24	21	8	46	30	45
17%	19%	11%	3%	9%	30%	24%	15%	6%	26%	16%	17
30	25	5	1	5	2	11	11	6	13	15	15
7%	7%	5%	2%	8%	3%	11%	8%	5%	7%	8%	
18 4%	12 4%	6 6%	- -	-	-	7 7%	11 8%	-	7 4%	1 1%	1
5 1%	3 1%	2 2%	- -	-	-	3 3%	2 1%	-	3 2%	-	;
37	4	31	3	1	2	6	25	4	8	6	3
8%	1%	29%	5%	2%	3%	6%	17%	3%	5%	3%	1:
0 .68	.60	0 1.03	.07	0 .25	0 .36	0 1.15	0 1.04	0 .16	0 .81	0 .36	.9:





Q5 In what proportion of the completed cases that you worked on in the last year...

### Summary table Base: All Respondents

Did you not receive a fee for your work?

Did you receive less in fees income than would have been warranted for the hours you worked on a case?

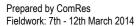
									Ne	ets	
Total	None (0)	1-5% (3)	6-10% (8)	11-15% (13)	16-20% (18)	21-50% (35.5)	More than 50% (55)	Don't know	6-15%	More than 15%	Mean score
444	60	117	88	46	55	30	29	19	134	114	12.48
100%	14%	26%	20%	10%	12%	7%	7%	4%	30%	26%	
444	13	12	16	11	36	111	226	19	27	373	40.77
100%	3%	3%	4%	2%	8%	25%	51%	4%	6%	84%	



Q5 In what proportion of the completed cases that you worked on in the last year...

### Did you not receive a fee for your work? Base: All Respondents

			Insolvency a	ppointment er			Num	ber of employe	es			Member of Practice	
		Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
Total		444 100%	334 100%	107 100%	60 100%	66 100%	74 100%	101 100%	143 100%	126 100%	175 100%	184 100%	260 100%
None	(0.0)	60 14%	35 10%	24 22%	10 17%	3 5%	9 12%	14 14%	24 17%	13 10%	23 13%	13 7%	47 18%
1-5%	(3.0)	117 26%	90 27%	27 25%	13 22%	17 26%	30 41%	21 21%	36 25%	30 24%	51 29%	56 30%	61 23%
6-10%	(8.0)	88 20%	74 22%	13 12%	18 30%	14 21%	9 12%	19 19%	28 20%	32 25%	28 16%	42 23%	46 18%
11-15%	(13.0)	46 10%	37 11%	9 8%	3 5%	7 11%	8 11%	13 13%	15 10%	10 8%	21 12%	23 13%	23 9%
16-20%	(18.0)	55 12%	48 14%	6 6%	7 12%	13 20%	6 8%	13 13%	16 11%	20 16%	19 11%	20 11%	35 13%
21-50%	(35.5)	30 7%	24 7%	6 6%	3 5%	6 9%	6 8%	10 10%	5 3%	9 7%	16 9%	12 7%	18 7%
More than 50%	(55.0)	29 7%	24 7%	5 5%	1 2%	5 8%	6 8%	9 9%	8 6%	6 5%	15 9%	15 8%	14 5%
Don't know		19 4%	2 1%	17 16%	5 8%	1 2%	-	2 2%	11 8%	6 5%	2 1%	3 2%	16 6%
Nets													
6-15%		134 30%	111 33%	22 21%	21 35%	21 32%	17 23%	32 32%	43 30%	42 33%	49 28%	65 35%	69 27%
More than 15%		114 26%	96 29%	17 16%	11 18%	24 36%	18 24%	32 32%	29 20%	35 28%	50 29%	47 26%	67 26%
Mean Score		12.48	13.19	9.98	9.26	15.02	12.39	14.83	10.85	12.38	13.79	13.34	11.84

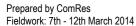




Q5 In what proportion of the completed cases that you worked on in the last year...

### Did you receive less in fees income than would have been warranted for the hours you worked on a case? Base: All Respondents

		Insolvency a	ppointment er			Num	ber of employe	es			Member of Practice	
	Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
Total	444	334	107	60	66	74	101	143	126	175	184	260
	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
None (0.0	13 3%	5 1%	7 7%	4 7%	-	3 4%	1 1%	5 3%	4 3%	4 2%	1 1%	12 5%
1-5% (3.0	12	7	5	1	1	1	4	5	2	5	3	9
	3%	2%	5%	2%	2%	1%	4%	3%	2%	3%	2%	3%
6-10% (8.0	16 4%	12 4%	4 4%	9 15%	1 2%	-	3 3%	3 2%	10 8%	3 2%	5 3%	11 4%
11-15% (13.0	11	7	4	2	-	2	3	4	2	5	7	4
	2%	2%	4%	3%	-	3%	3%	3%	2%	3%	4%	2%
16-20% (18.0	36	25	10	6	6	2	8	14	12	10	16	20
	8%	7%	9%	10%	9%	3%	8%	10%	10%	6%	9%	8%
21-50% (35.5	111	83	27	8	16	18	32	37	24	50	44	67
	25%	25%	25%	13%	24%	24%	32%	26%	19%	29%	24%	26%
More than 50% (55.0	226	191	35	25	42	47	47	65	67	94	104	122
	51%	57%	33%	42%	64%	64%	47%	45%	53%	54%	57%	47%
Don't know	19	4	15	5	-	1	3	10	5	4	4	15
	4%	1%	14%	8%	-	1%	3%	7%	4%	2%	2%	6%
Nets												
6-15%	27	19	8	11	1	2	6	7	12	8	12	15
	6%	6%	7%	18%	2%	3%	6%	5%	10%	5%	7%	6%
More than 15%	373	299	72	39	64	67	87	116	103	154	164	209
	84%	90%	67%	65%	97%	91%	86%	81%	82%	88%	89%	80%
Mean Score	40.77	42.76	34.38	33.96	45.41	45.05	40.20	39.33	40.21	42.27	42.83	39.25





Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### Summary table Base: All Respondents

Returns to creditors
IPs' fees
Competition in the market
The number of business / company rescues
The number of cases taken on by the Official Receiver
The number of cases taken on by IPs
The number of complaints about fees

		Stay the		Don't
Total	Increase	same	Decrease	know
444	47	174	176	47
100%	11%	39%	40%	11%
444		0.5	207	50
444	53	95	237	59
100%	12%	21%	53%	13%
444	69	131	214	30
100%	16%	30%	48%	7%
444	_	400	000	07
444	5	126	286	27
100%	1%	28%	64%	6%
444	309	64	17	54
100%	70%	14%	4%	12%
444	10	77	340	17
100%	2%	17%	77%	4%
444	55	249	100	40
100%	12%	56%	23%	9%
10070				0,0

Prepared by ComRes

Fieldwork: 7th - 12th March 2014

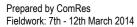


Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### Returns to creditors Base: All Respondents

Total	
Increase	
Stay the same	
Decrease	
Don't know	

	Insolvency ap			Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
47	34	12	9	6	12	9	11	15	21	20	27
11%	10%	11%	15%	9%	16%	9%	8%	12%	12%	11%	10%
174	132	42	17	29	35	30	63	46	65	75	99
39%	40%	39%	28%	44%	47%	30%	44%	37%	37%	41%	38%
176	128	46	23	25	24	46	58	48	70	70	106
40%	38%	43%	38%	38%	32%	46%	41%	38%	40%	38%	419
47	40	7	11	6	3	16	11	17	19	19	28
11%	12%	7%	18%	9%	4%	16%	8%	13%	11%	10%	11 <sup>9</sup>



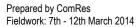


Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

IPs' fees Base: All Respondents

Total Increase Stay the same Decrease Don't know

	Insolvency ap taker			Number of employees								
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
53	36	16	5	9	9	11	19	14	20	23	30	
12%	11%	15%	8%	14%	12%	11%	13%	11%	11%	13%	12%	
95	70	25	15	13	9	19	39	28	28	35	60	
21%	21%	23%	25%	20%	12%	19%	27%	22%	16%	19%	23%	
237	184	52	30	32	48	60	67	62	108	100	137	
53%	55%	49%	50%	48%	65%	59%	47%	49%	62%	54%	53%	
59	44	14	10	12	8	11	18	22	19	26	33	
13%	13%	13%	17%	18%	11%	11%	13%	17%	11%	14%	13%	



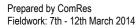


Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### Competition in the market Base: All Respondents

Total		
Increase		
Stay the same		
Decrease		
Don't know		

	Insolvency ap			Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
69	48	20	13	7	11	15	23	20	26	31	38
16%	14%	19%	22%	11%	15%	15%	16%	16%	15%	17%	15%
131	95	36	20	18	21	27	45	38	48	51	80
30%	28%	34%	33%	27%	28%	27%	31%	30%	27%	28%	31%
214	166	46	20	35	39	54	66	55	93	89	125
48%	50%	43%	33%	53%	53%	53%	46%	44%	53%	48%	489
30	25	5	7	6	3	5	9	13	8	13	17
7%	7%	5%	12%	9%	4%	5%	6%	10%	5%	7%	7°





Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of business / company rescues Base: All Respondents

Total Increase Stay the same Decrease Don't know

	Insolvency ap			Number of employees							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
5	3	2	2	1	-	1	1	3	1	2	3
1%	1%	2%	3%	2%		1%	1%	2%	1%	1%	1%
126	93	32	18	9	22	32	45	27	54	48	78
28%	28%	30%	30%	14%	30%	32%	31%	21%	31%	26%	30%
286	217	67	34	48	50	64	90	82	114	119	167
64%	65%	63%	57%	73%	68%	63%	63%	65%	65%	65%	64%
27	21	6	6	8	2	4	7	14	6	15	12
6%	6%	6%	10%	12%	3%	4%	5%	11%	3%	8%	5%



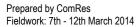


Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of cases taken on by the Official Receiver Base: All Respondents

Total		
Increase		
Stay the same		
Decrease		
Don't know		

	Insolvency ap			Member of Smaller Practice Group							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
309	234	73	37	49	54	71	98	86	125	128	181
70%	70%	68%	62%	74%	73%	70%	69%	68%	71%	70%	70%
64	48	16	12	6	10	14	22	18	24	24	40
14%	14%	15%	20%	9%	14%	14%	15%	14%	14%	13%	159
17	11	5	-	3	3	5	6	3	8	6	11
4%	3%	5%	-	5%	4%	5%	4%	2%	5%	3%	49
54	41	13	11	8	7	11	17	19	18	26	28
12%	12%	12%	18%	12%	9%	11%	12%	15%	10%	14%	119



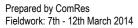


Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of cases taken on by IPs Base: All Respondents

Total	
Increase	
Stay the same	
Decrease	
Don't know	

	Insolvency ap taker			Number of employees							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
10	7	3	2	1	-	2	5	3	2	2	8
2%	2%	3%	3%	2%		2%	3%	2%	1%	1%	39
77	57	20	12	9	9	16	31	21	25	25	52
17%	17%	19%	20%	14%	12%	16%	22%	17%	14%	14%	20°
340	254	83	42	54	63	77	104	96	140	148	192
77%	76%	78%	70%	82%	85%	76%	73%	76%	80%	80%	74
17	16	1	4	2	2	6	3	6	8	9	8
4%	5%	1%	7%	3%	3%	6%	2%	5%	5%	5%	



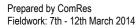


Q6 If fees were charged at a fixed rate, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of complaints about fees Base: All Respondents

Total Increase Stay the same Decrease Don't know

	Insolvency ap			Number of employees							
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
55	40	14	4	10	4	16	21	14	20	18	37
12%	12%	13%	7%	15%	5%	16%	15%	11%	11%	10%	14%
249	188	60	38	37	51	46	77	75	97	105	144
56%	56%	56%	63%	56%	69%	46%	54%	60%	55%	57%	55%
100	72	27	11	13	13	28	35	24	41	41	59
23%	22%	25%	18%	20%	18%	28%	24%	19%	23%	22%	23%
40	34	6	7	6	6	11	10	13	17	20	20
9%	10%	6%	12%	9%	8%	11%	7%	10%	10%	11%	8%



Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### Summary table Base: All Respondents

	Total	Increase	Stay the same	Decrease	Don't know
Returns to creditors	444	67	149	180	48
	100%	15%	34%	41%	11%
IPs' fees	444	55	104	241	44
	100%	12%	23%	54%	10%
Competition in the market	444	63	148	209	24
	100%	14%	33%	47%	5%
The number of business / company rescues	444	15	137	265	27
	100%	3%	31%	60%	6%
The number of cases taken on by the Official Receiver	444	290	81	20	53
	100%	65%	18%	5%	12%
The number of cases taken on by IPs	444	19	77	333	15
	100%	4%	17%	75%	3%
The number of complaints about fees	444	83	231	98	32
	100%	19%	52%	22%	7%



Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### Returns to creditors Base: All Respondents

Total		
Increase		

Stay the same

Don't know

Decrease

	Insolvency ap		Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
67	52	14	11	8	13	15	20	19	28	28	39	
15%	16%	13%	18%	12%	18%	15%	14%	15%	16%	15%	15%	
149	114	35	15	21	30	30	53	36	60	60	89	
34%	34%	33%	25%	32%	41%	30%	37%	29%	34%	33%	34%	
180	133	45	24	29	26	43	58	53	69	75	105	
41%	40%	42%	40%	44%	35%	43%	41%	42%	39%	41%	40%	
48	35	13	10	8	5	13	12	18	18	21	27	
11%	10%	12%	17%	12%	7%	13%	8%	14%	10%	11%	109	



Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

IPs' fees Base: All Respondents

Total

Increase

Stay the same

Decrease

Don't know

	Insolvency a			Number of employees							Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
55	31	23	6	5	6	12	26	11	18	13	42	
12%	9%	21%	10%	8%	8%	12%	18%	9%	10%	7%	16%	
104	80	24	17	9	12	26	40	26	38	40	64	
23%	24%	22%	28%	14%	16%	26%	28%	21%	22%	22%	25%	
241	187	53	30	43	49	54	65	73	103	109	132	
54%	56%	50%	50%	65%	66%	53%	45%	58%	59%	59%	51%	
44	36	7	7	9	7	9	12	16	16	22	22	
10%	11%	7%	12%	14%	9%	9%	8%	13%	9%	12%	8%	



Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### Competition in the market Base: All Respondents

Don't know

Total	
Increase	
Stay the same	
Decrease	

	Insolvency a		Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
63	41	21	10	6	7	17	23	16	24	26	37	
14%	12%	20%	17%	9%	9%	17%	16%	13%	14%	14%	14%	
148	114	34	23	20	21	25	59	43	46	58	90	
33%	34%	32%	38%	30%	28%	25%	41%	34%	26%	32%	35%	
209	158	49	21	35	42	55	56	56	97	89	120	
47%	47%	46%	35%	53%	57%	54%	39%	44%	55%	48%	46%	
24	21	3	6	5	4	4	5	11	8	11	13	
5%	6%	3%	10%	8%	5%	4%	3%	9%	5%	6%	5%	





Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of business / company rescues Base: All Respondents

Total Increase Stay the same

Decrease

Don't know

	Insolvency appointment taker		Number of employees								Smaller Group
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
15	11	4	4	2	1	4	4	6	5	5	10
3%	3%	4%	7%	3%	1%	4%	3%	5%	3%	3%	4%
137	101	35	17	16	19	30	55	33	49	48	89
31%	30%	33%	28%	24%	26%	30%	38%	26%	28%	26%	34%
265	199	64	33	42	49	62	79	75	111	117	148
60%	60%	60%	55%	64%	66%	61%	55%	60%	63%	64%	57%
27	23	4	6	6	5	5	5	12	10	14	13
6%	7%	4%	10%	9%	7%	5%	3%	10%	6%	8%	5%



Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of cases taken on by the Official Receiver Base: All Respondents

Total		
Increase		
Stay the same		
Decrease		
Don't know		

Insolvency appointment taker			Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
290	222	66	34	50	56	63	87	84	119	123	167	
65%	66%	62%	57%	76%	76%	62%	61%	67%	68%	67%	64%	
81	59	22	15	5	9	23	29	20	32	32	49	
18%	18%	21%	25%	8%	12%	23%	20%	16%	18%	17%	19%	
20	13	6	1	4	3	3	9	5	6	5	15	
5%	4%	6%	2%	6%	4%	3%	6%	4%	3%	3%	6%	
53	40	13	10	7	6	12	18	17	18	24	29	
12%	12%	12%	17%	11%	8%	12%	13%	13%	10%	13%	119	





Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of cases taken on by IPs Base: All Respondents

Increase

Stay the same

Decrease

Don't know

	Insolvency a		Number of employees								of Smaller Group
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No
444	334	107	60	66	74	101	143	126	175	184	260
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
19	14	5	3	3	1	5	7	6	6	9	10
4%	4%	5%	5%	5%	1%	5%	5%	5%	3%	5%	4%
77	53	24	12	7	10	16	32	19	26	26	51
17%	16%	22%	20%	11%	14%	16%	22%	15%	15%	14%	20%
333	255	75	43	55	61	75	99	98	136	141	192
75%	76%	70%	72%	83%	82%	74%	69%	78%	78%	77%	74%
15	12	3	2	1	2	5	5	3	7	8	7
3%	4%	3%	3%	2%	3%	5%	3%	2%	4%	4%	3%



Q7 If fees were charged as a percentage of realisations, do you think that this would lead to an increase or decrease in each of the following, or do you think it would make no difference?

### The number of complaints about fees Base: All Respondents

т	otal
ı	otai

Increase

Stay the same

Decrease

Don't know

	Insolvency a		Number of employees								Member of Smaller Practice Group	
Total	Yes	No	4 or fewer	5 to 9	10 to 49	50 to 249	250 or more	9 or fewer	10-249	Yes	No	
444	334	107	60	66	74	101	143	126	175	184	260	
100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	
83	58	24	7	16	5	20	35	23	25	24	59	
19%	17%	22%	12%	24%	7%	20%	24%	18%	14%	13%	23%	
231	172	58	39	29	44	43	76	68	87	95	136	
52%	51%	54%	65%	44%	59%	43%	53%	54%	50%	52%	52%	
98	79	18	10	16	17	30	25	26	47	49	49	
22%	24%	17%	17%	24%	23%	30%	17%	21%	27%	27%	19%	
32	25	7	4	5	8	8	7	9	16	16	16	
7%	7%	7%	7%	8%	11%	8%	5%	7%	9%	9%	6%	

